

**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF MICHIGAN  
SOUTHERN DIVISION**

*In Re* Flint Water Cases,

No. 5:16-cv-10444-JEL-MKM  
(consolidated)

Hon. Judith E. Levy

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LeeAnne Walters, as Next of Friend for  
Two Minor Children, G.W. 1 and G.W.  
2, et al.,

Case No. 5:20-cv-12726

*Plaintiffs,*

v.

J.P. Morgan Chase & Co.; Wells Fargo  
Bank, National Association; and Stifel,  
Nicolaus & Company, Incorporated,

*Defendants.*

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**RULE 7.1 DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, and to enable Judges and Magistrate Judges to evaluate possible disqualification or recusal, the undersigned counsel for Defendant Stifel, Nicolaus & Company, Incorporated, certifies as follows:

1. Stifel, Nicolaus & Company, Incorporated is a wholly-owned subsidiary of Stifel Financial Corporation.

2. Stifel Financial Corporation is a publicly-held company whose shares are traded on the New York Stock Exchange.

3. Stifel Financial Corporation has no parent company.

4. BlackRock, Inc., a Delaware corporation, is the beneficial owner of greater than 10% of Stifel Financial Corporation's outstanding shares.

Dated: November 9, 2020

Respectfully submitted,

/s/ Louis P. Gabel

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& Company, Inc.*

**CERTIFICATE OF SERVICE**

I certify that on November 9, 2020, I caused the foregoing document to be electronically filed with the Clerk of the Court through the CM/ECF system, which will effectuate service upon all counsel of record.

/s/ Louis P. Gabel  
Louis P. Gabel

*Counsel for Defendant Stifel, Nicolaus  
& Company, Inc.*